

BOS RESOLUTION - ATTACHMENT A

ADMINISTRATIVE OFFICE

BOS RESOLUTION - ATTACHMENT A

ADMINISTRATIVE OFFICE
SAN LUIS OBISPO COUNTY
DESIGNATED EMPLOYEES AND DISCLOSURE CATEGORIES

Exhibit A

Designated Position List

<u>Designated Position</u>	<u>Disclosure Category Number</u>
Assistant County Administrative Officer	1, 2, 4
Principal Administrative Analyst	1, 2, 3b, 3c, 4
Administrative Analyst I, II, III	1, 2, 3b, 3c

BOS RESOLUTION - ATTACHMENT A

COUNTY ADMINISTRATIVE OFFICE

CONFLICT OF INTEREST CODE

EXHIBIT B

DISCLOSURE CATEGORIES

1. Interests in real property located⁽¹⁾ within, or not more than one-half mile outside, the County Boundary.
2. Investments ⁽²⁾ in, and income⁽³⁾ from, any business entity doing business within the County.
3. Investments⁽²⁾ in, and income⁽³⁾ from, any business entity doing business within the County and engaged in:
 - a. The acquisition, sale, lease or development of real property;
 - b. Provision of insurance brokerage or consulting services;
 - c. Providing consulting services of the type which have, in the past two years, or which with reasonable foreseeability, may be utilized in the next one-year period by the filer's particular division within the Department.
4. Income⁽³⁾ of any business entity in which the filer or spouse owns a 10% interest or greater which is derived from client(s) or customer(s) who, with reasonable foreseeability, could be materially affected by the decisions made or participated in by the filer. Names of such client(s) or customer(s) must be reported under this category if the filer's prorated share of fees from such client or customer was greater than \$10,000 for all types of business.

FOOTNOTES

(Applicable to All Categories. See Referenced Sections of the Government Code for Complete Definitions.)

(1) Interests in real property of the filer include those of the filer's spouse and dependent children as well as the filer's pro rata share of interests in real property owned by any business entity or trust in which the filer or spouse owns a 10% interest or greater. Excluded are interests in real property with a fair market value of less than \$1,000 or property which is used principally as the place of residence of the filer. (Government Code Sections 82033 and 87206.5)

(2) Investments of a filer include those of the filer's spouse and dependent children as well as the filer's pro rata share of investments owned by any business entity or trust in which the filer or spouse owns a 10% interest or greater. Excluded are assets with the fair market value of less than \$1,000 or ownership of less than 1/2 of 1% of the outstanding securities of a business entity whose securities are registered with the Securities and Exchange Commission. (Government Code Section 82034 and Section 101 of this Code).

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(3) Income includes a filer's community property interest in income of his or her spouse, as well as the filer's pro rata share of income of any business entity or trust in which the individual or spouse owns a 10% interest or greater. Income also includes non-family gifts worth more than \$25. (Government Code Section 82030)

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AGRICULTURAL COMMISSIONER

BOS RESOLUTION - ATTACHMENT A

CONFLICT OF INTEREST CODE

EXHIBIT A

DESIGNATED POSITION LIST

<u>Designated Position</u>	<u>Disclosure Category Number</u>
Agricultural Commissioner/Sealer of Weights and Measures	1,2,3,4
Chief Deputy Agricultural Commissioner	3a,3b,3c,3d,3i,4
Deputy Agricultural Commissioner	3a,3b,3c,3d,4
Agricultural Inspector/Biologist I, II, or III	3a,3b,3c,3d,4
Chief Deputy Sealer of Weights and Measures	3e,4
Deputy Agricultural Commissioner/Sealer of Weights and Measures	3,4
Weights and Measures Inspector I, II or III	3e,4
Agricultural Resource Specialist	3i,4
Administrative Services Officer I or II	4
Administrative Services Manager	4

BOS RESOLUTION - ATTACHMENT A

DEPARTMENT OF AGRICULTURAL COMMISSIONER/MEASUREMENT STANDARDS

CONFLICT OF INTEREST CODE

EXHIBIT B

DISCLOSURE CATEGORIES

Category Number

1. Interest in real property (1) located within, or not more than one-half mile outside, the county boundary.
2. Investments (2) in, and income (3) from, business entities which, in the past two-year period, have contracted with the County to provide materials or services to the Department or, because of the type of product or service supplied, may with reasonable foreseeability contract to provide products or services to the Department in the next one-year period.
3. Investments (2) in, and income (3) from, any business entity doing business with the County and engaged in:
 - a. Supply, manufacture, application, disposal, or other dealings with pesticides or hazardous materials on a commercial basis;
 - b. Growing, processing or selling of, or dealing in, agricultural products on a commercial basis;
 - c. Bee management and honey production on a commercial basis;
 - d. Growing and selling nursery stock;
 - e. Use, sale, repair or maintenance of weighing and measuring equipment;
 - f. Providing consulting services of the type which have been utilized in the past two years, or which with reasonable foreseeability may be utilized in the next one-year period by the filer's particular division within the department;
 - g. Involvement with any business entity, on a commercial basis, which is regulated by or influenced by the San Luis Obispo County Department of Agriculture/Measurement Standards;
 - h. Involvement in any pest management business on a commercial basis;
 - i. Brokering, selling, or other involvement in agricultural property real estate transactions.
4. Income (3) of any business entity in which the filer or spouse owns a 10% interest or greater, which is derived from client (s) who with reasonable foreseeability could be materially affected by the decisions made or participated in by the filer. Names of such client (s) or customer (s) must be reported under this category if the filer's pro rata share of fees from such client or customer was greater than \$1,000 in the reporting period in the case of business providing legal or brokerage services, or \$10,000 for all other types of businesses.

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FOOTNOTES

(Applicable to All Categories. See Referenced Sections of the Government Code for Complete Definitions).

- (1) Interests in real property of the filer include those of the filer's spouse and dependent children as well as the filer's pro rata share of interests in real property owned by any business entity or trust in which the filer or spouse owns a 10% interest or greater. Excluded are interests in real property with a fair market value of less than \$2000 or property which is used principally as the place of residence of the filer. (Government Code Sections 82033 and 87206.5).
- (2) Investments of a filer include those of the filer's spouse and dependent children as well as the filer's pro rata share of investments owned by any business entity or trust in which the filer or spouse owns a 10% interest or greater. Excluded are assets with the fair market value of less than \$1,000 or ownership of less than ½ of 1% of the outstanding securities of a business entity whose securities are registered with the Securities and Exchange Commission. (Government Code Section 82934 and Section 101 of this Code).
- (3) Income includes a filer's community property interest in income of his or her spouse, as well as the filer's pro rata share of income of any business entity or trust in which the individual or spouse owns a 10% interest or greater. Income also includes non-family gifts worth more than \$25.

AIRPORTS

BOS RESOLUTION - ATTACHMENT A

DEPARTMENT OF AIRPORTS CONFLICT OF INTEREST CODE DESIGNATED POSITION LIST

Designated Position

Disclosure category

- | | | |
|----|------------------------|--------------|
| 1. | Director – Airports | 1, 2a, 2b, 3 |
| 2. | Asst. Airports Manager | 1, 2a, 2b, 3 |

BOS RESOLUTION - ATTACHMENT A

CONFLICT OF INTEREST CODE

EXHIBIT B

DISCLOSURE CATEGORIES

CATEGORY NO.

1. Interests in real property⁽¹⁾ located within, or not more than two miles, outside the County boundary.
2. Investments⁽²⁾ in, and income⁽³⁾ from, any business entity doing business within the County and engaged in:
 - a. Aviation activities, if the business entity presently leases space or in the next one-year period foreseeably will lease space at County Airports; or
 - b. Providing consulting services of the type which have, in the past two years, or which with reasonable foreseeability, may be utilized in the next one-year period by the filer's particular department.
 - c.
3. Income (3) of any business entity in which the filer or spouse owns a 10% interest or greater which is derived from client(s) or customer(s) who, with reasonable foreseeability, could be materially affected by the decisions made or participated in by the filer. Names of such client(s) or customer(s) must be reported under this category if the filer's prorate share of fees from such client or customer was greater than \$10,000 for all types of businesses.

ASSESSOR

BOS RESOLUTION - ATTACHMENT A

Office of the County Assessor Conflict of Interest Code

The Political Reform Act, codified in Government Code section 81000 et seq., requires state and local government agencies to adopt and promulgate conflict of interest codes. The Fair Political Practices Commission has adopted Title 2 of the California Code of Regulations, section 18730, which contains the terms of a standard conflict of interest code and which may be incorporated by reference. The Fair Political Practices Commission, after public notice and a hearing, may amend section 18730 to conform to amendments to the Political Reform Act. Therefore, the terms of Title 2 of the California Code of Regulations, section 18730, and any future amendments to it duly adopted by the Fair Political Practices Commission, along with Exhibit A below and Exhibit B below, listing designated positions and disclosure categories, are hereby incorporated by reference and constitute the conflict of interest code of the San Luis Obispo County Assessor's Office. OFFICE OF COUNTY ASSESSOR
DESIGNATED POSITION LIST

Exhibit A Designated Position List

<u>Designated Position</u>	<u>Disclosure Category Number¹</u>
Assessor	1, 2, 3
Assistant Assessor	1, 2, 3
Assessment Manager	1, 2, 3
Appraiser I, II, III	1, 2, 3
Appraiser Trainee	1, 2, 3
Auditor-Appraiser I, II, III	1, 2, 3
Assessment Analyst B I, II, III	1, 2, 3
Assessment Analyst B Trainee	1, 2, 3
Property Transfer Technician I, II, III, IV	1, 2, 3
Supervising Auditor-Appraiser	1, 2, 3
Supervising Appraiser	1, 2, 3
Supervising Property Transfer Technician	1, 2, 3

¹ See Exhibit B

BOS RESOLUTION - ATTACHMENT A

OFFICE OF COUNTY ASSESSOR

CONFLICT OF INTEREST CODE

EXHIBIT B

DISCLOSURE CATEGORIES

1. Interests in real property located ² within, or not more than one-half mile outside, the County Boundary.
2. Investments ³ in, and income⁴ from, any business entity doing business within the County.
3. Income ⁵ of any business entity in which the filer or spouse owns a 10% interest or greater which is derived from client(s) or customer(s) who, with reasonable foreseeability, could be materially affected by the decisions made or participated in by the filer. Names of such client(s) or customer(s) must be reported under this category if the filer's prorata share of fees from such client or customer was greater than \$1,000 in the case of businesses providing legal or brokerage services, or \$10,000 for all other types of businesses.

² Interests in real property of the filer include those of the filer's spouse and dependent children as well as the filer's pro rata share of interests in real property owned by any business entity or trust in which the filer or spouse owns a 10% interest or greater. Excluded are interests in real property with a fair market value of less than \$2,000 or property, which is used principally as the place of residence of the filer. (Government Code Sections 82033 and 87206.5)

³ Investments of a filer include those of the filer's spouse and dependent children as well as the filer's pro rata share of investments owned by any business entity or trust in which the filer or spouse owns a 10% interest or greater. Excluded are assets with the fair market value of less than \$2,000 . (Government Code Section 82034).

⁴ Income includes a filer's community property interest in income of his or her spouse, as well as the filer's prorata share of income of any business entity or trust in which the individual or spouse owns a 10% interest or greater, but does not include salary or reimbursement for expenses received from a state, local or federal government agency. Income also includes non-family gifts worth more than \$50. (Government Code Section 82030)

CLERK-RECORDER

BOS RESOLUTION - ATTACHMENT A

COUNTY CLERK-RECORDER
CONFLICT OF INTEREST CODES

EXHIBIT A

Designated Position List

<u>Designated Position</u>	<u>Disclosure Category Numbers</u>
County Clerk-Recorder	1, 2, 3
Assistant County Clerk-Recorder	1, 2, 3
Systems Administrator	1, 2, 3
Administrative Services Officer I, II	1, 2, 3
Division Supervisor	1, 2, 3

BOS RESOLUTION - ATTACHMENT A

EXHIBIT B Disclosure Categories

1. Investments ⁽²⁾ in, or income ⁽³⁾ from, business entities which, in the past two-year period, have contracted with the County to provide materials or services to the Department or, because of the type of product or service supplied, may with reasonable foreseeability contract to provide products or services to the Department in the next one-year period.

2. Investments ⁽²⁾ in, and income ⁽³⁾ from, any business entity doing business with the County and engaged in:

- a. The acquisition, sale, lease or development of real property;
- b. Providing title search services;
- c. Providing escrow services;
- d. Providing micrographic services
- e. Providing attorney services including, but not limited to, process service, legal typing, messenger service, and document preparation outside of normal scope of duties;
- f. Providing election supplies and services, including software and hardware.
- g. Printing election materials.
- h. Providing historical document repair and restoration services
- i. Providing software and/or hardware solutions that may with reasonable foreseeability be utilized by the Department.
- j. Providing backfile conversion services, including but not limited to indexing, paper to scanned image and micrographic to scanned image.
- k. Providing secured storage solutions for archival purposes.

3. Income ⁽³⁾ of any business entity in which the filer or spouse owns a 10% interest or greater which is derived from client(s) or customer(s) who, with reasonable foreseeability, could be materially affected by the decisions made or participated in by the filer. Names of such client(s) or customer(s) must be reported under this category if the filer's pro-rata share of fees from such client or customer is greater than \$10,000 for all types of business.

FOOTNOTES

(Applicable to All Categories. See Referenced Sections of the Government Code for Complete Definitions.)

(1) Interests in real property of the filer include those of the filer's spouse and dependent children as well as the filer's pro rata share of interests in real property owned by any business entity or trust in which the filer or spouse owns a 10% interest or greater. Excluded are interests in real property with a fair market value of less than \$1,000 or property which is used principally as the place of residence of the filer. (Government Code Sections 82033 and 87206.5)

(2) Investments of a filer include those of the filer's spouse and dependent children as well as the filer's pro rata share of investments owned by any business entity or trust in which the filer or spouse owns a 10% interest or greater. Excluded are assets with

BOS RESOLUTION - ATTACHMENT A

the fair market value of less than \$1,000 or ownership of less than 1/2 of 1% of the outstanding securities of a business entity whose securities are registered with the Securities and Exchange Commission. (Government Code Section 82034 and Section 101 of this Code).

(3) Income includes a filer's community property interest in income of his or her spouse, as well as the filer's pro rata share of income of any business entity or trust in which the individual or spouse owns a 10% interest or greater. Income also includes non-family gifts worth more than \$25. (Government Code Section 82030)

BOS RESOLUTION - ATTACHMENT A

DISTRICT ATTORNEY

BOS RESOLUTION - ATTACHMENT A

Office of the District Attorney Conflict of Interest Code

The Political Reform Act, codified in Government Code section 81000 et seq., requires state and local government agencies to adopt and promulgate conflict of interest codes. The Fair Political Practices Commission has adopted Title 2 of the California Code of Regulations, section 18730, which contains the terms of a standard conflict of interest code and which may be incorporated by reference. The Fair Political Practices Commission, after public notice and a hearing, may amend section 18730 to confirm to amendments to the Political Reform Act. Therefore, the terms of Title 2 of the California Code of Regulations, section 18730, and any future amendments to it duly adopted by the Fair Political Practices Commission, along with the list below that sets forth designated employees and disclosure categories, are hereby incorporated by reference and constitute the conflict of interest code of the San Luis Obispo County District Attorney's Office.

BOS RESOLUTION - ATTACHMENT A

DESIGNATED POSITION LIST

<u>Designated Position</u>	<u>Disclosure Category Number</u>
Assistant District Attorney	1, 2, and 3
Chief Deputy District Attorney	1, 2, and 3

DISCLOSURE CATEGORIES

1. Interests in real property¹ located within, or not more than two miles, outside the County boundary.
2. Investments² in, and income³ from, any business entity doing business within the County.
3. Income of any business entity in which the filer or spouse owns a 10% interest or greater that is derived from client(s) or customer(s) who, with reasonable foreseeability, could be materially affected by the decisions made or participated in by the filer. Names of such client(s) or customer(s) must be reported under this category if the filer's prorata share of fees from such client or customer was greater than \$10,000 for all types of investments.

¹See Government Code Section 82033.

²See Government Code Section 82034.

³ See Government Code section 82030.

GENERAL SERVICES

BOS RESOLUTION - ATTACHMENT A

GENERAL SERVICES CONFLICT OF INTEREST CODE DESIGNATED POSITION LIST Revised Dec. 2014

<u>Designated Position</u>	<u>Disclosure category</u>
1. General Services Director	1, 2, 3, 4, 5, 6, 7
2. Deputy Director – General Services Department	1, 2, 3, 4, 5, 6, 7
3. Department Administrator	1, 2, 3, 4, 5, 6, 7
4. Administrative Services Manager	1, 2, 3
5. Property Manager	2, 4, 7
6. Fleet Manager	6, 7
7. Senior Buyer	2, 3, 4, 5, 6, 7
8. Buyer II	2, 3, 4, 5, 6, 7
9. Architectural Services Supervisor	1, 2, 4
10. Building Maintenance Superintendent	2, 3, 4, 7
11. Sr. Capital Project Coordinator	1, 2, 4
12. Associate Capital Project Coordinator	1, 2, 4

BOS RESOLUTION - ATTACHMENT A

CONFLICT OF INTEREST CODE

EXHIBIT B

DISCLOSURE CATEGORIES

CATEGORY NO.

1. Interests in real property (1) located within or not more than one-half mile outside, the County boundary.
2. Investments (2) in, and income (3) from, any business entity from which the County has in the past two years purchased, leased, or rented materials, supplies, furnishing, equipment, livestock or other personal property, or may with reasonable foreseeability contract to provide products or services to the Department in the next one-year period.
3. Investments (2) in, and income (3) from, business entities which, in the past two-year period, have contracted with the County to provide materials or services to the Department or, because of the type of product or service supplied, may with reasonable foreseeability contract to provide products or services to the Department in the next one-year period.
4. Investments (2) in, and income (3) from, any business entity doing business within the County and engaged in:
 - a. The acquisition, sale, lease or development of real property;
 - b. Facility construction or design;
 - c. Providing grounds or facility maintenance repair, services or supplies;
 - d. Providing consulting services of the type which have, in the past two years, or which with reasonable foreseeability, may be utilized in the next one-year period by the filer's particular division within the department.
5. Investments (2) in, and income (3) from, any business entity engaged in the past two year period by the County Purchasing Agent or designee as an independent contractor or which, with reasonable foreseeability, will be engaged in the next one-year period.
6. Investments (2) in, and income (3) from, any business entity doing business within the County and engaged in Automobile repair or parts supply.
7. Income (3) of any business entity in which the filer or spouse owns a 10% interest or greater which is derived from client(s) or customer(s) who, with reasonable foreseeability, could be materially affected by the decisions made or participated in by the filer. Names of such client(s) or customer(s) must be reported under this category if the filer's prorate share of fees from such client or customer was greater than \$10,000 for all types of businesses.

INFORMATION TECHNOLOGY

BOS RESOLUTION - ATTACHMENT A

**INFORMATION TECHNOLOGY DEPARTMENT
CONFLICT OF INTEREST CODE – DECEMBER 2014**

EXHIBIT A

DESIGNATED POSITION LIST

Designated Position	Disclosure Category
1. Director, Information Technology - New	1, 2, 3, 4, 5, 6
2. Deputy Director, Information Technology	1, 2, 3, 4, 5, 6
3. Manager, Information Technology	1, 2, 3
4. Supervisor, Information Technology	1, 2, 3
5. Project Manager, Information Technology	1, 2, 3
6. Administrative Service Manager	1, 2, 3
7. Accountant III	1, 2, 3

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INFORMATION TECHNOLOGY DEPARTMENT

CONFLICT OF INTEREST CODE – DECEMBER 2014

EXHIBIT B

DISCLOSURE CATEGORIES

CATEGORY NO:

1. Investments (2) in, and income from, business entities which, in the past two-year period, have contracted with the County to provide materials or services to the Department or, because of the type of product or service supplied, may with reasonable foreseeability contract to provide products or services to the Department in the next one-year period.
2. Interests in real property (1) located within or not more than one-half mile outside the County boundary.
3. Investments (2) in, and income (3) from, any business entity from which the County Central Services Manager has in the past two year purchased, leased, or rented materials, supplies, furnishings, equipment, livestock, or other personal property.
4. Investments (2) in; and income (3) from business entities which, in the past two-year period, have contracted with the County to provide materials or services to the Department or because of the type of product or service supplied, may with reasonable foreseeability contract to provide products or services to the Department in the next one-year period.
5. Investments (2) in and income (3) from, any business entity doing business within the County and engaged in:
 - a. The acquisition, sale, lease, or development of real property;
 - b. Facility construction, or design;
 - c. Providing grounds or facility maintenance repair, services, and supplies;
 - d. Aviation activities, if the business entity presently leases space or, in the next one-year period foreseeably will lease space at County Airports; or
 - e. Providing consulting service of the type which has, in the past two years, or which with reasonable foreseeability, may be utilized in the next one-year period by the flier's particular division within the department.
6. Income (3) of any business entity in which the filer or spouse owns a 10% interest or greater which is derived from client(s) or customer(s) who, with reasonable foreseeability, could be materially affected by the decisions made of participated in by the filer. Names of such client(s) or customer(s) must be reported under this category if the filer's prorata share of fees from such client or customer was greater than \$10,000 for all types of business.

NACIMIENTO WATER PROJECT COMMISSION

BOS RESOLUTION - ATTACHMENT A

Commission Conflict of Interest Code October 2004 Amendment November 20, 2014

San Luis Obispo County Flood Control and Water Conservation District Nacimiento Project
Commission

List of Designated Positions Subject to the Provisions of the Commission's Conflict of Interest Code

People holding the Designated Positions listed below ("filers") shall comply with this Nacimiento Project Commission's Conflict of Interest Code, and will disclose the financial interests required for the indicated Disclosure Category.

Disclosure Category

1. Interests in real property located in whole or in part within the boundary of the San Luis Obispo County Flood Control and Water Conservation District ("District"). The District's boundary is the same as the County boundary.
2. Investments in and income from any business entity doing business within the District engaged in:
 - a. The acquisition, sale, lease or development of real estate within the District.
 - b. Construction or design of civil engineering projects, either as a contractor or subcontractor.
3. Investments in and income from any business entity which in the past one year period has furnished products or services to the District or, because of the type of product or service supplied, may with reasonable foreseeability provide products or services to the District in the next one year period.
4. Income of any business entity in which the filer or spouse owns a 10% or greater interest, which income is derived from a client or customer who with reasonable foreseeability could be materially affected by a District decision in which the filer participates. The filer must report the names of such clients or customers if the filer's pro-rated share of gross receipts from such client or customer was greater than \$10,000.

Designated Positions

Disclosure Category

Officials

Commissioners

1, 2, 3, 4

Alternate Commissioners

1, 2, 3, 4

County Employees

Director of Public Works

1, 2, 3, 4

Utilities Division Manager

1, 2, 3, 4

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PARKS AND RECREATION

BOS RESOLUTION - ATTACHMENT A

Appendix A

Department of Parks and Recreation

Disclosure Categories

The following Disclosure Categories are established for the Department of Parks and Recreation. Disclosures are to be made utilizing Form 700 prepared annually by the California Fair Political Practices Commission, and distributed by the San Luis Obispo County Clerk's Office. Filers should follow the instructional manual included with the Form 700 in disclosing the interests required by this code.

1. Investments¹ in, and income² from, business entities³ which, in the past two-year period, have contracted with the County to provide materials or services to the Department or, because of the type of product or service supplied, may with reasonable foreseeability contract to provide products or services to the Department in the next one-year period.
2. Interests in real property⁴ located within or not more than 2 miles outside the County boundary.
3. Investments in, and income from, any business entity from which the County Purchasing Agent has in the past two years contracted with for services or purchased, leased, or rented materials, supplies, furnishing, equipment, livestock or other personal property.
4. Investments in, and income from, any business entity doing business within the County and engaged in:
 - a. The acquisition, sale, lease or development of real property.
 - b. Design or construction of park facilities;
 - c. Providing grounds or building maintenance, repair, services or supplies; or
 - d. Providing consulting services of the type which have, in the past two years, or which with reasonable foreseeability, may be utilized in the next one-year period by the Department.

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5. Income of any business entity in which the filer or spouse owns a 10% interest or greater which is derived from clients or customers who, with reasonable foreseeability, could be materially affected by the decisions made or participated in by the filer.

Endnotes

¹ As used herein, “investment” means any financial interest in or security issued by a business entity, including, but not limited to, common stock, preferred stock, rights, warrants, options, debt instruments, and any partnership or other ownership interest owned directly, indirectly, or beneficially by the public official, or other filer, or his or her immediate family, if the business entity or any parent, subsidiary, or otherwise related business entity has an interest in real property in the jurisdiction, or does business or plans to do business in the jurisdiction, or has done business within the jurisdiction at any time during the two years prior to the time any statement or other action is required under this title. An asset shall not be deemed an investment unless its fair market value equals or exceeds two thousand dollars (\$2,000). The term “investment” does not include a time or demand deposit in a financial institution, shares in a credit union, any insurance policy, interest in a diversified mutual fund registered with the Securities and Exchange Commission under the Investment Company Act of 1940 or in a common trust fund created pursuant to Section 1564 of the Financial Code, interest in a government defined-benefit pension plan, or any bond or other debt instrument issued by any government or government agency. Investments of an individual includes a pro rata share of investments of any business entity, mutual fund, or trust in which the individual or immediate family owns, directly, indirectly, or beneficially, a 10-percent interest or greater. The term “parent, subsidiary or otherwise related business entity” shall be specifically defined by regulations of the commission. (Gov. Code 82034.)

²(a) “Income” means, except as provided in subdivision (b), a payment received, including but not limited to any salary, wage, advance, dividend, interest, rent, proceeds from any sale, gift, including any gift of food or beverage, loan, forgiveness or payment of indebtedness received by the filer, reimbursement for expenses, per diem, or contribution to an insurance or pension program paid by any person other than an employer, and including any community property interest in the income of a spouse. Income also includes an outstanding loan. Income of an individual also includes a pro rata share of any income of any business entity or trust in which the individual or spouse owns, directly, indirectly or beneficially, a 10-percent interest or greater. **“Income,” other than a gift, does not include income received from any source outside the jurisdiction and not doing business within the jurisdiction, not planning to do business within the jurisdiction, or not having done business within the jurisdiction during the two years prior to the time any statement or other action is required under this title.**

(b) “Income” also does not include:

(1) Campaign contributions required to be reported under Chapter 4 (commencing with Section 84100).

(2) Salary and reimbursement for expenses or per diem, and social security, disability, or other similar benefit payments received from a state, local, or federal government agency and reimbursement for travel expenses and per diem received from a bona fide nonprofit entity exempt from taxation under Section 501(c)(3) of the Internal Revenue Code.

(3) Any devise or inheritance.

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(4) Interest, dividends, or premiums on a time or demand deposit in a financial institution, shares in a credit union or any insurance policy, payments received under any insurance policy, or any bond or other debt instrument issued by any government or government agency.

(5) Dividends, interest, or any other return on a security which is registered with the Securities and Exchange Commission of the United States government or a commodity future registered with the Commodity Futures Trading Commission of the United States government, except proceeds from the sale of these securities and commodities futures.

(6) Redemption of a mutual fund.

(7) Alimony or child support payments.

(8) Any loan or loans from a commercial lending institution which are made in the lender's regular course of business on terms available to members of the public without regard to official status.

(9) Any loan from or payments received on a loan made to an individual's spouse, child, parent, grandparent, grandchild, brother, sister, parent-in-law, brother-in-law, sister-in-law, nephew, niece, uncle, aunt, or first cousin, or the spouse of any such person, provided that a loan or loan payment received from any such person shall be considered income if he or she is acting as an agent or intermediary for any person not covered by this paragraph.

(10) Any indebtedness created as part of a retail installment or credit card transaction if made in the lender's regular course of business on terms available to members of the public without regard to official status.

(11) Payments received under a defined benefit pension plan qualified under Internal Revenue Code Section 401(a) .

(12) Proceeds from the sale of securities registered with the Securities and Exchange Commission of the United States government or from the sale of commodities futures registered with the Commodity Futures Trading Commission of the United States government if the filer sells the securities or the commodities futures on a stock or commodities exchange and does not know or have reason to know the identity of the purchaser. (Gov. Code, § 82030.)

³ Only investments in and sources of income from business entities that do business in San Luis Obispo County, plan to do business in the county within the next year, or have done business in the county within the past two years should be reported. A business entity is deemed to be doing business within the county if it owns real property within San Luis Obispo County.

⁴ "Interest in real property" includes any leasehold, beneficial or ownership interest or an option to acquire such an interest in real property located in the jurisdiction owned directly, indirectly or beneficially by the public official, or other filer, or his or her immediate family if the fair market value of the interest is two thousand dollars (\$2,000) or more. Interests in real property of an individual includes a pro rata share of interests in real property of any business entity or trust in which the individual or immediate family owns, directly, indirectly or beneficially, a 10-percent interest or greater. Excluded are interests in real property with a fair market value of less than \$2,000 or property that is used principally as the filer's place of residence.

BOS RESOLUTION - ATTACHMENT A

Appendix B

Department of Parks and Recreation

Designated Position Lists

<u>Designated Position</u>	<u>Disclosure Categories</u>
1. Administrative Services Manager	1, 2, 3
2. Director of Parks and Recreation	1, 2, 3, 4, 5
3. Golf Course Superintendent	1, 2, 3, 4, 5
4. Parks Superintendent	1, 2, 3, 4, 5
5. Senior Planner	1, 2, 4, 5
5. Consultant ¹	

¹ Individuals who perform under contract the duties of any designated position shall be required to file Statements of Economic Interests disclosing reportable interests in the categories assigned to that designated position. In addition, individuals who, under contract, participate in decisions which affect financial interests by providing information, advice, recommendations or counsel to the Department which could affect financial interests shall be required to file Statements of Economic Interests, unless they fall within the Political Reform Act's exceptions to the definition of consultant. The level of disclosure shall be as determined by the Director of Parks and Recreation.

PLANNING AND BUILDING

BOS RESOLUTION - ATTACHMENT A

EXHIBIT A Designated Position List

<u>Designated Position</u>	<u>Disclosure Category Number</u>
Planning and Building Director	1, 2, 3
Assistant Director – Planning and Building	1, 2, 3
Division Manager – Planning	1, 2, 3
Supervising Planner	1, 2, 3
Senior Planner	1, 2, 3
Environmental Resource Specialist	1,2,3
Planner I, II, or III	1, 2, 3
Resource Protection Specialist I, II, or III	1, 2, 3
Division Manager – (Chief Building Official)	1, 2, 3
Assistant Building Official	1, 2, 3
Building Division Supervisor	1, 2, 3
Building Inspector I, II, or III	1, 2, 3
Building Plans Examiner I, II, or III	1, 2, 3
Land Use Technician	1, 2, 3
Environmental Coordinator	1, 2, 3
Environmental Health Specialist	1, 2, 3

BOS RESOLUTION - ATTACHMENT A

EXHIBIT B Disclosure Categories

1. Interests in real property ⁽¹⁾ located within, or not more than one-half mile outside, the County boundary.
2. Investments ⁽²⁾ in, and income ⁽³⁾ from, any business entity doing business within the County.
3. Income ⁽³⁾ of any business entity in which the filer or spouse owns a 10% interest or greater which is derived from client(s) or customer(s) who, with reasonable foreseeability, could be materially affected by the decisions made or participated in by the filer. Names of such client(s) or customer(s) must be reported under this category if the filer's pro-rata share of fees from such client or customer is greater than \$10,000 for all types of business.

FOOTNOTES

(Applicable to All Categories. See Referenced Sections of the Government Code for Complete Definitions.)

(1) Interests in real property of the filer include those of the filer's spouse and dependent children as well as the filer's pro rata share of interests in real property owned by any business entity or trust in which the filer or spouse owns a 10% interest or greater. Excluded are interests in real property with a fair market value of less than \$1,000 or property which is used principally as the place of residence of the filer. (Government Code Sections 82033 and 87206.5)

(2) Investments of a filer include those of the filer's spouse and dependent children as well as the filer's pro rata share of investments owned by any business entity or trust in which the filer or spouse owns a 10% interest or greater. Excluded are assets with the fair market value of less than \$1,000 or ownership of less than 1/2 of 1% of the outstanding securities of a business entity whose securities are registered with the Securities and Exchange Commission. (Government Code Section 82034 and Section 101 of this Code).

(3) Income includes a filer's community property interest in income of his or her spouse, as well as the filer's pro rata share of income of any business entity or trust in which the individual or spouse owns a 10% interest or greater. Income also includes non-family gifts worth more than \$25. (Government Code Section 82030)

PROBATION

BOS RESOLUTION - ATTACHMENT A

PROBATION DEPARTMENT
CONFLICT OF INTEREST CODE

EXHIBIT A

DESIGNATED POSITION LIST

<u>Designated Position</u>	Disclosure Category Numbers (See Exhibit B) _____
Chief Probation Officer	1, 2, 3
Chief Deputy Probation Officer	1, 2, 3
Administrative Services Manager	1, 2, 3

BOS RESOLUTION - ATTACHMENT A
PROBATION DEPARTMENT

CONFLICT OF INTEREST CODE

EXHIBIT B

DISCLOSURE CATEGORIES

<u>Schedules</u>	<u>Category No.</u>
B	1. Interests in real property ¹ used as the site of a facility that provides residential care services for adult or juvenile probationers or parolees of this County.
A-1, A-2	2. Investments ² in, and income ³ from, any business entity which: <ul style="list-style-type: none">a. Provides residential care services for adult or juvenile probationers or parolees of this County.b. Provides services to the Probation Department that are not obtained through the Purchasing Agent.
C, D, and E	3. Income ³ of any business entity in which the filer or spouse owns a 10% interest or greater which is derived from client(s) or customer(s) who, with reasonable foreseeability, could be materially affected by the decisions made or participated in by the filer. Names of such client(s) or customer(s) must be reported under this category if the filer's pro rata share of fees from such client or customer was greater than \$10,000 for all types of businesses.

Footnotes: Applicable to All Categories. See Referenced Sections of the Government Code for Complete Definitions.

¹ Interests in real property of the filer include those of the filer's spouse and dependent children as well as the filer's pro rata share of interests in real property owned by any business entity or trust in which the filer or spouse owns a 10% interest or greater. Excluded are interests in real property with a fair market value of less than \$2,000 or property which is used principally as the place of residence of the filer. (Government Code Sections 82033.)

² Investments of a filer include those of the filer's spouse and dependent children as well as the filer's pro rata share of investments owned by any business entity or trust in which the filer or spouse owns a 10% interest or grater. Excluded are assets with the fair market value of less than \$2,000 and any other investment excluded under Government Code section 82034. (Government Code Section 82034 And Section 101 of this Code)

³ Income includes a filer's community property interest in income of his or her spouse, as well as the filer's pro rata share of income of any business entity or trust in which the individual or spouse owns a 10% interest or greater. Income also includes non-family gifts worth more than \$50. (Government Code Section 82030)

PUBLIC HEALTH

BOS RESOLUTION - ATTACHMENT A

Exhibit "A"

San Luis Obispo County Health Agency Designated Officials and Employees And Disclosure Categories

<u>Designated Position</u>	<u>Disclosure Category Numbers</u>
Health Agency Director	1,2,3,4
Public Health Administrator	1,2,3,4
Behavioral Health Administrator	1,2,3,4
Health Agency Deputy Director	1,2,3,4
Animal Services Manager (Non-Vet) or Animal Services Manager (Vet)	1,2,3,4
Division Manager – Drug & Alcohol Services	1,2,3,4
Division Manager – Environmental Health	1,2,3,4
Division Manager – Health Agency	1,2,3,4
Division Manager – Mental Health Services	1,2,3,4
Division Manager – Public Health Nursing Services	1,2,3,4
Mental Health Medical Director	1,2,3,4
Public Health Laboratory Manager	1,2,3,4

BOS RESOLUTION - ATTACHMENT A

Exhibit "B" **Disclosure Categories**

Category Number

- 1) Interests in real property¹ located within, or not more than one-half mile outside, the county boundary.
- 2) Investments² in, and income³ from business entities which, in the past two-year period have contracted with the County to provide materials or services to the Health Agency or because of the type of product or service supplied, may with reasonable foreseeability contract to provide products or services to the Health Agency in the next one-year period.
- 3) Investments² in, and income³ from any business entity doing business within the County and engaged in:
 - a) Acquisition, sale, lease or development of real property;
 - b) Building construction or design;
 - c) Design, construction or maintenance of water systems and sewage disposal systems;
 - d) Drilling or maintenance of water wells;
 - e) Producing, processing, selling at retail, vending or serving food;
 - f) Garbage collection or operating garbage disposal sites;
 - g) Design, construction or maintenance of swimming pools;
 - h) Laboratory testing of water and sewage;
 - i) Use, sale, repair or maintenance of air pollution control or monitoring equipment;

¹Interests in real property of the filer include those of the filer's spouse and dependent children as well as the filers pro rata share of interests in real property owned by any business entity or trust in which the filer or spouse owns a 10% or greater interest. Excluded are interests in real property with a fair market value of less than \$2,000.00 or property, which is used principally as the place of residence of the filer. (Government Code Sections 82033 and 87206)

²Investments of a filer include those of the filer's spouse and dependent children as well as the filer's pro rata share of investments owned by any business entity or trust in which the filer or spouse owns a 10% or greater interest. Excluded are assets with the fair market value of less than \$2,000.00 or ownership of less than 1/2 of 1% of the outstanding securities of a business entity whose securities are registered with the Securities and Exchange Commission. (Government Code Section 82034 and Section 101 of the County Public Health Department Code)

³Income includes a filer's community property interest in income of his or her spouse, as well as the filer's pro rata share of income of any business entity or trust in which the individual or spouse owns a 10% of greater interest. Income also includes non-family gifts worth more than \$50.00. (Government Code Section 82030 & 87207)

BOS RESOLUTION - ATTACHMENT A

- j) Providing consulting services of the type, which have in the past two years, or with reasonable foreseeability may be utilized in the next one-year period by the department.
- 4) Income³ of any business entity in which the filer or spouse owns a 10% interest or greater, which is derived from client(s) or customer(s) who with reasonable foreseeability could be materially affected by the decisions made or participated in by the filer. Name of such client(s) or customer(s) must be reported under this category if the filer's pro-rata share of fees from such client or customer was greater than \$10,000.00 for all types of businesses.

BOS RESOLUTION - ATTACHMENT A

SHERIFF-CORONER

BOS RESOLUTION - ATTACHMENT A

San Luis Obispo County Sheriff's Office DESIGNATED EMPLOYEES AND DISCLOSURE CATEGORIES

Exhibit A

Designated Position List

<u>Designated Position</u>	<u>Disclosure Category Number</u>
Sheriff-Coroner	1, 2, 3
Undersheriff	1, 2, 3
Chief Deputy	1, 2, 3
Correctional Captain	1, 2, 3

Exhibit B

Category

1. Interests in real property located within, or not more than one outside, the San Luis Obispo County boundary. See instructions for reporting Interests in Real Property for detailed reporting requirements.
2. Investments in, and income from, any business entity doing business within San Luis Obispo County. See instructions for reporting Investments and Investments Income and Assets of Business Entities and Trusts for detailed reporting requirements.
3. Gross income totaling more than \$500 received by the filer or spouse from any source located in, doing business in, planning to do business in, or which has done business in San Luis Obispo County within the last two years. Additionally, report the job title or business position held with any business entity as identified in the previous sentence. See instructions for reporting Income & Business Positions for detailed reporting requirements.

Note: The instructions referenced in this exhibit are those instructions provided by the Fair Political Practices Commission under the Statement of Economic Interest (Form 700 as of the date this Code was adopted.)

**SAN LUIS OBISPO COUNTY TOURISM AND
IMPROVEMENT DISTRICT**

BOS RESOLUTION - ATTACHMENT A

San Luis Obispo County Tourism Business Improvement District
Conflict of Interest Code

The Political Reform Act, codified in Government Code Section 81000 et seq., requires state and local government agencies to adopt and promulgate conflict of interest codes. The Fair Political Practices Commission has adopted Title 2 of the California Code of Regulations, section 18730, which contains the terms of a standard conflict of interest code and which may be incorporated by reference. The Fair Political Practices Commission, after public notice and a hearing, may amend section 18730 to conform to amendments to the Political Reform Act. Therefore, the terms of Title 2 of the California Code of Regulations, section 18730, and any future amendments to it duly adopted by the Fair Political Practices Commission, along with the list below that sets forth designated employees and disclosure categories, are hereby incorporated by reference and constitute the conflict of interest code of the San Luis Obispo County Tourism Business Improvement District Advisory Board.

Designated officials and employees shall file their statements of economic interests with the Elections Division of the San Luis Obispo County Clerk’s Office, 1055 Monterey Street, D120, San Luis Obispo CA 93408.

San Luis Obispo County Tourism Business Improvement District
DESIGNATED EMPLOYEES AND DISCLOSURE CATEGORIES

Exhibit A
Designated Position List

<u>Designated Position</u>	<u>Disclosure Category Number</u>
All Advisory Board Members	1, 2
CHIEF ADMINISTRATIVE OFFICER	1,2

BOS RESOLUTION - ATTACHMENT A

Exhibit B Disclosure Categories

1. Investments¹ in, and income² from business entities which, in the past two-year period, have contracted with the County of San Luis Obispo, to provide materials or services to the County or because of the type of product or service they supply, may with reasonable foreseeability contract to provide products or services to the San Luis Obispo County Tourism Business Improvement District (SLCOTBID) or County of San Luis Obispo.
2. Investments¹ in, and income² from business entities involved in activities intended to promote the general tourism of the SLOCTBID or County, including but not limited to the following such activities:
 - Promote and market the SLOCTBID or County to conferences, groups or film businesses;
 - Promote and market the SLOCTBID or County to the travel industry;
 - Promote and market the cultural, environmental, recreational, historical or other type infrastructure assets within the SLOCTBID or County.

¹Investments of a filer include those of the filer's spouse and dependent children as well as the filer's pro rata share of investments owned by any business entity or trust in which the filer or spouse owns a 10% or greater interest. Excluded are assets with a fair market value of less than \$1,000 or ownership of less than ½ of 1% of the outstanding securities of a business entity whose securities are registered with the Securities and Exchange Commission. (Government Code Section 820343 and Section 101 of this Code)

²Income includes a filer's community property interest in income of his or her spouse, as well as the filer's pro rate share of income of any business entity or trust in which the individual of spouse owns a 10% or greater interest. Income also includes non-family gifts worth more than \$25. (Government Code Section 82030)

WORKFORCE INVESTMENT BOARD

BOS RESOLUTION - ATTACHMENT A

WORKFORCE INVESTMENT BOARD

CONFLICT OF INTEREST CODE

EXHIBIT A

Position

Disclosure Category

Workforce Investment Board (WIB)

1, 2, 3

BOS RESOLUTION - ATTACHMENT A

WORKFORCE INVESTMENT BOARD

CONFLICT OF INTEREST CODE

EXHIBIT B

General Provisions

When a member, officer, or employee who holds a designated position is required to disclose investments and sources of income, he or she shall disclose investments in business entities and sources of income which do business in the jurisdiction, plan to do business in the jurisdiction, or have done business in the jurisdiction within the last two years. In addition to other activities a business entity is doing business within the jurisdiction if it owns real property within the jurisdiction.

When a designated member, officer, or employee who holds a designated position is required to disclose sources of income, he or she shall disclose gifts received from donors located inside as well as outside the jurisdiction.

When a designated member, officer, or employee who holds a designated position is required to disclose interests in real property, he or she shall disclose the type of real property described below, if it is located in whole or part within, or not more than two miles outside the boundaries of the jurisdiction, or within two miles of any land owned or used by the Workforce Investment Board.

When a designated member, officer, or employee who holds a designated position is required to disclose business positions, he or she shall disclose positions in business entities that do business in the jurisdiction, plan to do business within the jurisdiction, or who have done business in the jurisdiction within the past two years.

THE DISCLOSURES REQUIRED ARE THE KINDS OF FINANCIAL INTERESTS IN WHICH THE DESIGNATED EMPLOYEE CAN, WITH REASONABLE FORESEEABILITY, AFFECT MATERIALLY THROUGH HIS OR HER CONDUCT WHILE IN OFFICE.

Disclosure Category #1 -

A member, officer, or employee holding a position assigned to Disclosure Category #1 shall, in the manner described above, disclose:

1. All interests and investments in real property in the jurisdiction, which were acquired by, leased, or otherwise used by the WIB or which may, with reasonable foreseeability, be affected materially by the operations of the WIB.

BOS RESOLUTION - ATTACHMENT A

Disclosure Category #2 -

A member, officer, or employee holding a position assigned to Disclosure Category #2 shall, in the manner described above, disclose:

1. All personal income from source within the jurisdiction which may, with reasonable foresee ability, be affected materially by the operations of the WIB.

Disclosure Category #3 -

A member, officer, or employee holding a position assigned to Disclosure Category #3 shall, in the manner described above, disclose;

1. Any business entity income or source of business income, from any business within the jurisdiction which may, with reasonable foresee ability, be affected materially by the operations of the WIB.

VETERANS SERVICES

BOS RESOLUTION - ATTACHMENT A

Amendment to Veterans Services Conflict of Interest Code:

Effective upon approval of the Board of Supervisors, paragraph 302, subparagraph (b) is hereby amended to read as follows:

Annual Statements shall be filed **no later than April 1 of each year** ~~during the month of January~~ disclosing reportable investments, interests in real property and income held or received **during the previous calendar year.** ~~in the period since the closing date of the designated employee's previously filed statement and December 31.~~